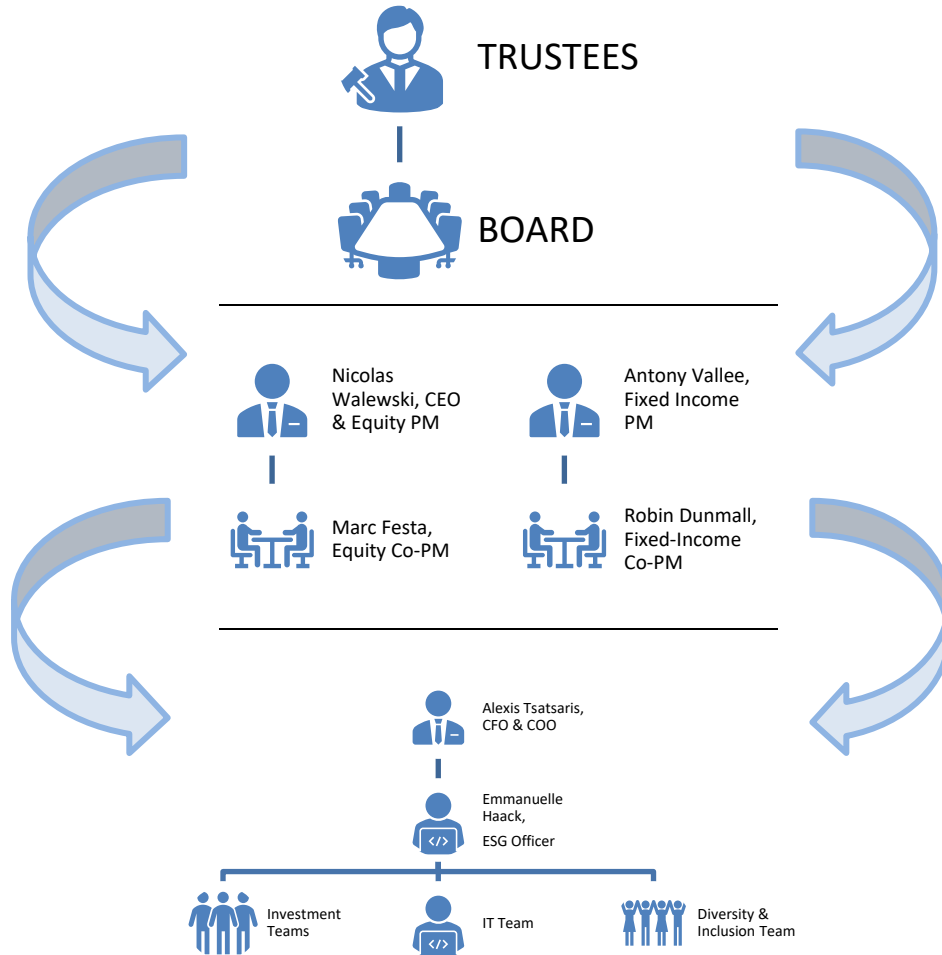




# ESG ORGANISATIONAL AND RESPONSIBILITIES MAP

Alken Asset Management Ltd



## ALKEN ASSET MANAGEMENT

25 Savile Row Road, London, W1S 2ER, United Kingdom Tel +44 (0)20 7440 1900 Fax +44 (0)20 7440 1901  
[www.alken-am.com](http://www.alken-am.com)



## WHO DECIDES AND IMPLEMENTS?

NAME	JOB TITLE	ESG FUNCTION
Nicolas Walewski	CEO and Equity PM	Decision makers for Equity ESG implementation
Marc Festa	Equity Co-PM	
Antony Vallee	Fixed Income PM	Decision makers for FI ESG implementation
Robin Dunmall	Fixed Income Co-PM	
Alexis Tsatsaris	CFO and COO	Oversight of overall ESG implementation
Emmanuelle Haack	ESG Officer	Implementing new processes across the firm
Investment Teams	Equity and FI analysts	Evaluate companies' ESG practices
IT Team	IT analysts	Help build our internal ESG platforms
Intern(s) and other staff member(s)	Different roles	Help conduct ESG analysis on companies

## WHO HAS OVERSIGHT?

- ❖ The **Board & Trustees**
- ❖ C-Levels
- ❖ Investment Committees
- ❖ Chief Risk Officer & Chief Compliance Officer
- ❖ Head of Sales & Marketing

## WHEN?

- ❖ We hold a **weekly ESG investment meeting** to discuss progress, advancements, and on-going difficulties.
- ❖ We hold a **weekly ESG IT development** meeting to discuss on-going and future IT developments on ESG.
- ❖ We hold a **quarterly ESG Committee** with the management to discuss achievements and future developments.
- ❖ We hold a **quarterly ESG investment committee** to discuss compliance with ESG rules.
- ❖ We hold an **annual ESG meeting** with the entire firm to highlight main developments and develop future objectives.
- ❖ We hold an **annual ESG Committee** to discuss overall directions, budget, staff, trainings, ESG objectives.
- ❖ We hold an **annual compliance & ESG meeting** with the board members whereby we discuss ESG objectives, including climate-related strategy, for the year to come.

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